



110242019003914



## SECURITIES AND EXCHANGE COMMISSION

SEC Building, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines  
Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

### Barcode Page

The following document has been received:

**Receiving Officer/Encoder** : Mark Jason L. Orcine - COS  
**Receiving Branch** : SEC Head Office  
**Receipt Date and Time** : October 24, 2019 02:29:05 PM  
**Received From** : Head Office

Company Representative

---

Doc Source

Company Information

---

**SEC Registration No.** CS201300728  
**Company Name** FIRST METRO PHILIPPINE EQUITY EXCHANGE TRADED FUND, INC.  
**Industry Classification** Investment Company Operation  
**Company Type** Stock Corporation

### Document Information

---

**Document ID** 110242019003914  
**Document Type** Initial Statement of Beneficial Ownership  
**Document Code** 23A  
**Period Covered** October 18, 2019  
**No. of Days Late** 0  
**Department** CFD  
**Remarks** REPORTING PERSON  
ELORIAGA, RAFAEL K.

# COVER SHEET

C	S	2	0	1	3	0	0	7	2	8
---	---	---	---	---	---	---	---	---	---	---

S.E.C. Registration Number

F	I	R	S	T	M	E	T	R	O	P	H	I	L	I	P	P	I	N	E	E	Q	U	I	T	Y
E	X	C	H	A	N	G	E	T	R	A	D	E	D	F	U	N	D	,	I	N	C	.			

(Company's Full Name)

1	8	T	H	F	L	O	O	R	,	P	S	B	A	N	K	C	E	N	T	E	R			
7	7	7	P	A	S	E	O	D	E	R	O	X	A	S	C	O	R	.	S	E	D	E	Ñ	O
,	M	A	K	A	T	I	C	I	T	Y														

(Business Address: No. Street City / Town Province)

<b>EDWIN B. VALEROSO</b>
--------------------------

Contact Person

8	9	1	-	2	8	6	0			
---	---	---	---	---	---	---	---	--	--	--

Company Telephone Number

<table border="1" style="display: inline-table; width: 40px; height: 20px;"> <tr><td>1</td><td>2</td></tr> </table> Month	1	2	<table border="1" style="display: inline-table; width: 40px; height: 20px;"> <tr><td>3</td><td>1</td></tr> </table> Day	3	1
1	2				
3	1				
Fiscal Year					

<b>SEC FORM 23-A</b>
----------------------

Form Type

**2nd Wed. of May**

<table border="1" style="display: inline-table; width: 40px; height: 20px;"> <tr><td></td><td></td></tr> </table> Month			<table border="1" style="display: inline-table; width: 40px; height: 20px;"> <tr><td></td><td></td></tr> </table> Day		
Annual Meeting					

<b>INVESTMENT COMPANY</b>
---------------------------

Secondary License type, if applicable

C	G	F	D
---	---	---	---

Dept. Requiring this Doc.

--

Amended Articles Number/Section

--

Total No. of Stockholders

Total amount of Borrowings

<table border="1" style="display: inline-table; width: 100px; height: 20px;"></table> Domestic	<table border="1" style="display: inline-table; width: 100px; height: 20px;"></table> Foreign
---	--

To be Accomplished by SEC Personnel concerned

--

File Number

\_\_\_\_\_

LCU

--

Document I.D.

\_\_\_\_\_

Cashier

--

Remarks = Pls. use black ink for scanning purposes.

FORM 23-A

REVISED

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

1. Name and Address of Reporting Person <b>ELORIGA, RAFAEL K.</b> (Last) (First) (Middle)		2. Date of Event Requiring Statement (Month/Day/Year) <b>Oct 18, 2019</b>		5. Issuer Name and Trading Symbol <b>FIRST METRO PHILIPPINE EQUITY EXCHANGE TRADED FUND, INC.</b>		7. If Amendment: Date of Original (Month/Day/Year)
The Provincial House, Saint Vincent Seminary (Street)		3. Tax Identification Number <b>135-548-979</b>		6. Relationship of Reporting Person to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below)		
Complex 221 Tandang Sora Ave, Quezon City (City) (Province) (Postal Code)		4. Citizenship <b>FILIPINO</b>		Table 1 - Equity Securities Beneficially Owned		
1. Class of Equity Security	2. Amount of Securities Beneficially Owned		3. Ownership Form: Direct (D) or Indirect (I) *	4. Nature of Indirect Beneficial Ownership		
	%	Number				
COMMON	0.00%	1	N/A	N/A		

**If the reporting person previously owned 5% or more but less than 10%, provide the disclosure requirements set forth on page 3 of this Form.**

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.  
(Print or Type Responses)  
(1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:  
(A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or  
(B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.  
(2) A person will be deemed to have an indirect beneficial interest in any equity security which is:  
(A) held by members of a person's immediate family sharing the same household;  
(B) held by a partnership in which such person is a general partner;  
(C) held by a corporation of which such person is a controlling shareholder; or  
(D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

